## The 3-D Graphics Rendering Pipeline

Modeling
Transformation

Trival Rejection

Illumination

Viewing
Transformation

Clipping

Projection

Rasterization \& Pixel Operation

- Almost every discussion of 3-D graphics begins here
- Seldom are any two versions drawn the same way
- Seldom are any two versions implemented the same way
- Primitives are processed in a series of steps
- Each step forwards its result on to the next step

Display

## Transformation

\author{

1. 2D Transformation <br> 2. 3D Transformation 3. Viewing Projection
}

## 2D Translation



$$
\left\{\begin{array}{l}
x^{\prime}=x+t_{x} \\
y^{\prime}=y+t_{y}
\end{array}\right.
$$

## 2D Rotation



$$
\left\{\begin{array}{l}
\mathrm{x}^{\prime}=\mathrm{x} \cdot \cos \theta-\mathrm{y} \cdot \sin \theta \\
\mathrm{y}^{\prime}=\mathrm{x} \cdot \cos \theta+\mathrm{y} \cdot \sin \theta
\end{array}\right.
$$

## Matrix and Vector format:

$$
\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=M\left[\begin{array}{l}
x \\
y
\end{array}\right]=\left[\begin{array}{cc}
\cos \theta & -\sin \theta \\
\cos \theta & \sin \theta
\end{array}\right]\left[\begin{array}{l}
x \\
y
\end{array}\right]
$$

## Back to Translation



Matrix format?
$\left[\begin{array}{l}x^{\prime} \\ y^{\prime}\end{array}\right]=M\left[\begin{array}{l}x \\ y\end{array}\right]=\left[\begin{array}{ll}? ? & ? ? \\ ? ? & ? ?\end{array}\right]\left[\begin{array}{l}x \\ y\end{array}\right]$
Homogenous coordinates!

$$
\begin{aligned}
& \left\{\begin{array}{l}
\mathrm{x}^{\prime}=\mathrm{x}+\mathrm{t}_{\mathrm{x}} \\
\mathrm{y}^{\prime}=\mathrm{y}+\mathrm{t}_{\mathrm{y}}
\end{array}\right. \\
& M=\left[\begin{array}{lll}
1 & 0 & t_{x} \\
0 & 1 & t_{y} \\
0 & 0 & 1
\end{array}\right]
\end{aligned}
$$

$$
\left[\begin{array}{c}
w x^{\prime} \\
w y^{\prime} \\
w
\end{array}\right]=M\left[\begin{array}{c}
x \\
y \\
1
\end{array}\right]=\left[\begin{array}{lll}
m_{00} & m_{01} & m_{02} \\
m_{10} & m_{11} & m_{12} \\
m_{20} & m_{21} & m_{22}
\end{array}\right]\left[\begin{array}{l}
x \\
y \\
1
\end{array}\right]
$$

## 2D Translation Properties

1.There exists an inverse mapping for each function 2.There exists an identity mapping

$$
\begin{aligned}
M^{-1} & =\left[\begin{array}{ccc}
1 & 0 & -t_{x} \\
0 & 1 & -t_{y} \\
0 & 0 & 1
\end{array}\right] \\
\left.M\right|_{\substack{t_{x}=0 \\
t_{x}=0}} & =\left[\begin{array}{ccc}
1 & 0 & -t_{x} \\
0 & 1 & -t_{y} \\
0 & 0 & 1
\end{array}\right]=\left[\begin{array}{lll}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{array}\right]=\operatorname{Identity}(I)
\end{aligned}
$$

## 2D Translation Properties

These properties might seem trivial at first glance, but they are actually very important, because when these conditions are shown for any class of functions it can be proven that such a class is closed under composition (i.e. any series of translations can be composed to a single translation). In mathematical parlance this is the same as saying that translations form an algebraic group.

$$
x^{\prime}=\underbrace{T_{1} T_{2} \bullet \cdots T_{n}}_{T^{\prime}} x
$$

## Back to Rotation

$$
\begin{aligned}
& \sum_{\theta} \\
& {\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=M\left[\begin{array}{l}
x \\
y
\end{array}\right]=\left[\begin{array}{cc}
\cos \theta & -\sin \theta \\
\sin \theta & \cos \theta
\end{array}\right]\left[\begin{array}{l}
x \\
y
\end{array}\right]} \\
& M_{R}=\left[\begin{array}{ccc}
\cos \theta & -\sin \theta & 0 \\
\sin \theta & \cos \theta & 0 \\
0 & 0 & 1
\end{array}\right] \\
& M_{R}{ }^{-1}=\left[\begin{array}{ccc}
\cos \theta & \sin \theta & 0 \\
-\sin \theta & \cos \theta & 0 \\
0 & 0 & 1
\end{array}\right] \\
& \left.M_{R}\right|_{\theta=0}=\text { Identity }
\end{aligned}
$$

## Transformation Order

## Order matters!


translation ---> rotation

rotation ---> translation

## Other 2D Transformations



X-shear

And more .......

## 2D Rotation by Shears


http://cfcs.pku.edu.cn/~baoquan/papers/rot2p.pdf

## 3D Rotation by Shears


http://cfcs.pku.edu.cn/~baoquan/papers/rot.pdf

## Affine transformation

## Property: preserve parallel lines

Remember affine function on vector is equal to linear plus translation

$$
M=\left[\begin{array}{ccc}
m_{00} & m_{01} & m_{02} \\
m_{10} & m_{11} & m_{12} \\
0 & 0 & 1
\end{array}\right]
$$

The coordinates of three corresponding points uniquely determine any Affine Transform!!



## Transformation

## 1. 2D Transformation <br> 2. 3D Transformation 3. Viewing Projection

## 3D Translation



## 3D Scaling



$$
\mathbf{S}=\left[\begin{array}{cccc}
\mathbf{s}_{0} & 0 & 0 & 0 \\
0 & \mathbf{s}_{1} & 0 & 0 \\
0 & 0 & \mathbf{s}_{2} & 0 \\
0 & 0 & 0 & 1
\end{array}\right]
$$

## 3D Rotation



$$
\mathbf{R}_{\mathbf{x}}=\left[\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & \cos \theta & -\sin \theta & 0 \\
0 & \sin \theta & \cos \theta & 0 \\
0 & 0 & 0 & 1
\end{array}\right]
$$

$$
\mathbf{R}_{\mathbf{y}}=\left[\begin{array}{cccc}
\cos \theta & 0 & \sin \theta & 0 \\
0 & 1 & 0 & 0 \\
-\sin \theta & 0 & \cos \theta & 0 \\
0 & 0 & 0 & 1
\end{array}\right] \quad \mathbf{R}_{\mathbf{z}}=\left[\begin{array}{cccc}
\cos \theta-\sin \theta & 0 & 0 \\
\sin \theta & \cos \theta & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 1
\end{array}\right]
$$

## Axis-angle rotation



## From 2D to 3D: Preliminary

- Right-handed


VS.
left-handed
(into page)
$\xrightarrow[X]{\mathrm{Z}}$

- Z-axis determined from X and Y by cross product: $\mathrm{Z}=\mathrm{X} \times \mathrm{Y}$

$$
\left.\mathbf{Z}=\mathbf{X} \times \mathbf{Y}=\left\lvert\, \begin{array}{l}
X_{2} Y_{3}-X_{3} Y_{2} \\
X_{3} Y_{1}-X_{1} Y_{3} \\
X_{1} Y_{2}-X_{2} Y_{1}
\end{array}\right.\right\rfloor
$$

- Cross product follows right-hand rule in a right-handed coordinate system, and left-hand rule in left-handed system.


## Rotation as Vector Operation

$$
x^{\prime}=x_{2 D}^{\prime}+x_{\rightarrow a}
$$



$$
=(\cos \theta) \vec{x}+(\vec{x} \cdot \vec{a}) \vec{a})(1-\cos \theta)+(\vec{x} \times \vec{a}) \sin \theta
$$

$\vec{x}^{\prime}=\left[(\cos \theta) I+\left(\vec{a} \vec{a}^{T}\right)(1-\cos \theta)+\left(\vec{a}^{*}\right) \sin \theta\right] \vec{x}$


## Axis-angle rotation



## Transformation

## 1. 2D Transformation <br> 2. 3D Transformation <br> 3. Viewing Projection

## Orthographic Projection

- Throw away Z coordinates
- Get points on the XY plane



## Perspective


http://www.indiana.edu/~kglowack/athens/

## Perspective Projection



## A Simple Perspective Camera

- Canonical case:
- camera looks along the $z^{-a x i s}$
- focal point is the origin
- image plane is parallel to the $x y$-plane at distance $d$
- (We call d the focal length, mainly for historical reasons)



## Similar Triangles



- Diagram shows $y$-coordinate, $x$-coordinate is similar


## Similar Triangles



$$
y^{\prime} / z^{\prime}=y / z
$$

$$
y^{\prime} / d=y / z
$$

$$
y^{\prime}=(d / z) * y
$$

## A Perspective Projection Matrix

-Projection using homogeneous coordinates:

- transform $[x, y, z]$ to $[(d / z) x,(d / z) y, d]$

$$
\left[\begin{array}{l}
w x^{\prime} \\
w y^{\prime} \\
w z^{\prime} \\
w
\end{array}\right]=\left[\begin{array}{llll}
d & 0 & 0 & 0 \\
0 & d & 0 & 0 \\
0 & 0 & d & 0 \\
0 & 0 & 1 & 0
\end{array}\right]\left[\begin{array}{l}
x \\
y \\
z \\
1
\end{array}\right]=\left[\begin{array}{l}
d x \\
d y \\
d z \\
z
\end{array}\right] \stackrel{\frac{1}{w}}{\longleftrightarrow}\left[\begin{array}{l}
x^{\prime} \\
y^{\prime} \\
z^{\prime}
\end{array}\right]=\left[\begin{array}{l}
\frac{d}{z} x \\
\frac{d}{z} y \\
d
\end{array}\right]
$$

## Camera Position and Orientation



## LookFrom And LookAt



Is This Enough?

## LookFrom And LookAt



## Complete Camera Specification



## Complete Camera Specification



## Viewing Volume



## Rendering from any camera position



## Coordinate Transformation

$$
\begin{gathered}
\vec{p}=\left(p_{x}, p_{y}\right) \equiv \vec{o}+p_{x} \vec{x}+p_{y} \vec{y} \\
\vec{p}=\left(p_{u}, p_{v}\right) \equiv \vec{e}+p_{u} \vec{u}+p_{v} \bar{v} \\
{\left[\begin{array}{c}
p_{x} \\
p_{y} \\
1
\end{array}\right]=\left[\begin{array}{ccc}
1 & 0 & e_{x} \\
0 & 1 & e_{y} \\
0 & 0 & 1
\end{array}\right]\left[\begin{array}{ccc}
u_{x} & v_{x} & 0 \\
u_{y} & v_{y} & 0 \\
0 & 0 & 1
\end{array}\right]\left[\begin{array}{c}
p_{u} \\
p_{v} \\
1
\end{array}\right]} \\
{\left[\begin{array}{c}
p_{u} \\
p_{v} \\
1
\end{array}\right]=\left[\begin{array}{ccc}
u_{x} & u_{y} & 0 \\
v_{x} & v_{y} & 0 \\
0 & 0 & 1
\end{array}\right]\left[\begin{array}{ccc}
1 & 0 & -e_{x} \\
0 & 1 & -e_{y} \\
0 & 0 & 1
\end{array}\right]\left[\begin{array}{c}
p_{x} \\
p_{y} \\
1
\end{array}\right]=\left[\begin{array}{ccc}
u_{x} & u_{y} & -e_{x} \\
v_{x} & v_{y} & -e_{y} \\
0 & 0 & 1
\end{array}\right]\left[\begin{array}{c}
p_{x} \\
p_{y} \\
1
\end{array}\right]}
\end{gathered}
$$

## Coordinate Transformation

$$
\left[\begin{array}{c}
p_{x} \\
p_{y} \\
p_{z} \\
1
\end{array}\right]=\left[\begin{array}{llll}
1 & 0 & 0 & e_{x} \\
0 & 1 & 0 & e_{y} \\
0 & 0 & 1 & e_{z} \\
0 & 0 & 0 & 1
\end{array}\right]\left[\begin{array}{llll}
u_{x} & v_{x} & w_{x} & 0 \\
u_{y} & v_{y} & w_{y} & 0 \\
u_{z} & v_{z} & w_{z} & 0 \\
0 & 0 & 0 & 1
\end{array}\right]\left[\begin{array}{c}
p_{u} \\
p_{v} \\
p_{w} \\
1
\end{array}\right]
$$


$\left[\begin{array}{c}p_{u} \\ p_{v} \\ p_{w} \\ 1\end{array}\right]=\left[\begin{array}{cccc}u_{x} & u_{y} & u_{z} & 0 \\ v_{x} & v_{y} & v_{z} & 0 \\ w_{x} & w_{y} & w_{z} & 0 \\ 0 & 0 & 0 & 1\end{array}\right]\left[\begin{array}{cccc}1 & 0 & 0 & -e_{x} \\ 0 & 1 & 0 & -e_{y} \\ 0 & 0 & 1 & -e_{z} \\ 0 & 0 & 0 & 1\end{array}\right]\left[\begin{array}{c}p_{x} \\ p_{y} \\ p_{z} \\ 1\end{array}\right]$

## Viewing Transformations



## Viewing Transformations



Translate LookFrom to origin

## Viewing Transformations



Rotate LookAt to $Z$ axis (axis-angle rotation)

## Viewing Transformations



Rotate about Z to get the projection of Vup parallel to the Yaxis

## Implementation

Implementing the lookat/lookfrom/vup viewing scheme
(1) Translate by -lookfrom, bring focal point to origin
(2) Rotate lookat-lookfrom to the $z$-axis with matrix R:

$$
\begin{aligned}
& " \mathrm{v}=(\text { lookat-lookfrom }) \text { (normalized) and } \mathrm{z}= \\
& {[0,0,1]} \\
& \text { " rotation axis: } \quad \mathrm{a}=(\mathrm{v} \times \mathrm{z}) /|\mathrm{v} \times \mathrm{z}| \\
& \text { " rotation angle: } \quad \cos \theta=\mathrm{v}^{\circ} \mathrm{z} \text { and } \sin \theta=|\mathrm{v} \times \mathrm{z}|
\end{aligned}
$$

$\mathbf{R}=\mathbf{a} \mathbf{a}^{T}+(\mathbf{v} \cdot \mathbf{z})\left(\mathbf{I}-\mathbf{a a}^{T}\right)+|\mathbf{v} \times \mathbf{z}| \mathbf{a}^{*}$ where
or: glRotate $\left(\theta, a_{x}, a_{y}, a_{z}\right)$
(3) Rotate about $z$-axis to get projection of vup parallel to the $y$-axis
" watch out if vup is along the $z$-axis

## Screen Coordinates



## Viewport Transformations

- A transformation maps the visible (model) world onto screen or window coordinates
- In OpenGL a viewport transformation, e. g. g10rtho(), defines what part of the world is mapped in standard "Normalized Device Coordinates" ( $(-1,-1)$ to (1, 1))
- The viewpoint transformation maps NDC into actual window, pixel coordinates
- by default this fills the window
- otherwise use glViewport



## Clipping



## The Viewing Frustum



## Normalizing the Viewing Frustum

- Transform frustum to a cube before clipping


- Converts perspective frustum to orthographic frustum
- Very similar to our perspective transformation - just another matrix


## Model and Transformation Hierarchy

## How to Model a Stick Person



- Make a stick person out of cubes
- Just translate, rotate, and scale each one to get the right size, shape, position, and orientation.
- Looks great, until you try to make it move.


## The Right Control Knobs



- As soon as you want to change something, the model likely falls apart
- Reason: the thing you' re modeling is constrained but your model doesn' $t$ know it
- Wanted:
- some sort of representation of structure
- Control knob
- This kind of control knob is convenient for static models, and vital for animation!
- Key: structure the transformations in the right way: using a hierarchy


## Making an Articulated Model



- A minimal 2-D jointed object:
- Two pieces, $A$ ("forearm") and $B$ ("upper arm")
- Attach point q on $B$ to point r on $A$ ("elbow")
- Desired control knobs:
» u : shoulder angle ( $A$ and $B$ rotate together about p)
»v: elbow angle ( $A$ rotates about $r$, which stays attached to p)


## Making an Arm, step 1



- Start with $A$ and $B$ in their untransformed configurations ( $B$ is hiding behind $A$ )
- First apply a series of transformations to $A$, leaving $B$ where it is...


## Making an Arm, step 2



- Translate by -r, bringing $r$ to the origin
- You can now see $B$ peeking out from behind $A$


## Making an Arm, step 3



- Next, we rotate $A$ by v (the "elbow" angle)


## Making an Arm, step 4



- Translate $A$ by $q$, bringing $r$ and $q$ together to form the elbow joint
- We can regard $q$ as the origin of the elbow coordinate system, and regard A as being in this coordinate system.


## Making an Arm, step 5



- From now on, each transformation applies to both $A$ and $B$ (This is important!)
- First, translate by -p, bringing p to the origin
- $A$ and $B$ both move together, so the elbow doesn' t separate!


## Making an Arm, step 6



- Then, we rotate by u, the "shoulder" angle
- Again, $A$ and $B$ rotate together


## Making an Arm, step 7



- Finally, translate by T, bringing the arm where we want it
- p is at origin of shoulder coordinate system


## Transformation Hierarchies



## A Schematic Humanoid

- Each node represents
- rotation(s)
- geometric primitive(s)
- struct.
transformations
- The root can be anywhere. We chose the hip (can re-root)
- Control for each joint angle, plus global position and orientation
- A realistic human would be much more complex


## Directed Acyclic Graph

- This is a graph, so you
 can re-root it.
- It' s directed, rendering traversal only follows links one way.
- It’ s acyclic, to avoid infinite loops in rendering.
- Not necessarily a tree.
- e.g. 1.arm2 and r. arm2 primitives might be two instantiations (one mirrored) of the same geometry


## What Hierarchies Can and Can't Do

- Advantages:
- Reasonable control knobs
- Maintains structural constraints
- Disadvantages:
- Can' t do closed kinematic chains (keep hand on hip)
- A more general approach:
- inverse kinematics - more complex, but better knobs
- Hierarchies are a vital tool for modeling and animation


## Implementing Hierarchies

- Building block: a matrix stack that you can push/pop
- Recursive algorithm that descends your model tree, doing transformations, pushing, popping, and drawing
- Tailored to OpenGL’ s state machine architecture (or vice versa)
- Nuts-and-bolts issues:
- What kind of nodes should I put in my hierarchy?
- What kind of interface should I use to construct and edit hierarchical models?


## The Matrix Stack

- Idea of Matrix Stack:
- LIFO stack of matrices with push and pop operations
- current transformation matrix (product of all transformations on stack)
- transformations modify matrix at the top of the stack
- Recursive algorithm:
- load the identity matrix
- for each internal node:
» push a new matrix onto the stack
» concatenate transformations onto current transformation matrix
" recursively descend tree
" pop matrix off of stack
- for each leaf node:
» draw the geometric primitive using the current transformation matrix


## Relevant OpenGL routines

gIPushMatrix(), gIPopMatrix()
push and pop the stack. push leaves a copy of the current matrix on top of the stack
gILoadIdentity(), gILoadMatrixd(M)
load the Identity matrix, or an arbitrary matrix, onto top
of the stack
glMultMatrixd(M)
multiply the matrix $C$ on top of stack by $M . \quad C=C M$
glOrtho ( $\mathbf{x} 0, \mathbf{y} 0, \mathbf{x 1 , y 1 , z 0 , z 1 )}$
set up parallel projection matrix
gIRotatef(theta, $\mathbf{x}, \mathbf{y}, \mathbf{z})$, gIRotated(...)
axis/angle rotate. " $f$ " and " $d$ " take floats and doubles, respectively
gITranslatef(x,y,z), gIScalef(x,y,z)
translate, rotate. (also exist in "d" versions.)
Baoquan Chen 2018

## Two-link arm, revisited, in OpenGL

Trace of Opengl calls gILoadIdentity(); glOrtho(...); glPushMatrix();
glTranslatef(Tx,Ty,0);
glRotatef(u, $0,0,1$ );
glTranslatef(-px,-py,0);
glPushMatrix();
gITranslatef(qx,qy,0);
glRotatef(v, $0,0,1$ );
glTranslatef(-rx,-ry,0);
Draw(A);
glPopMatrix();
Draw(B);
glPopMatrix();


